

Bioinformatik für Biologen

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Genome Sequencing, Assembly and NGS Data

Shotgun sequencing

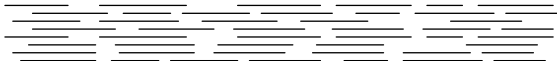
template (e.g. genome)

Shotgun sequencing

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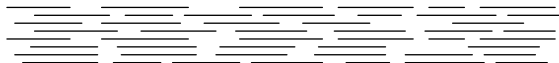
break template into random fragments



Shotgun sequencing

template (e.g. genome)

↓
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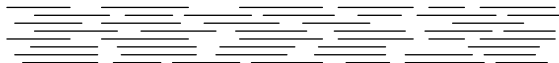
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build sequencing library (add adaptors etc)



Shotgun sequencing

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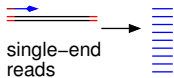
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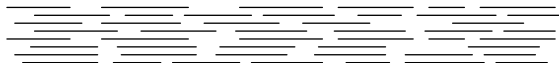
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sequence the ends of the fragments



Shotgun sequencing

template (e.g. genome)

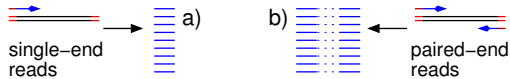
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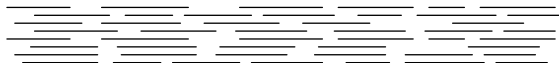
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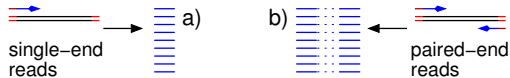
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↓
many sequencing reads

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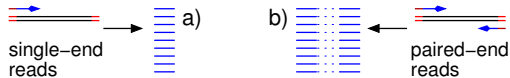
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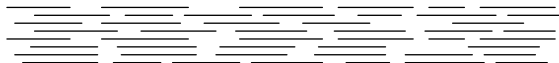
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Aim: reconstruct template sequence from reads

Shotgun sequencing

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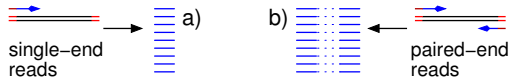
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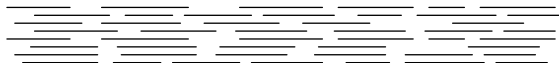
Aim: reconstruct template sequence from reads

– reference-guided assembly (map against reference)

Shotgun sequencing

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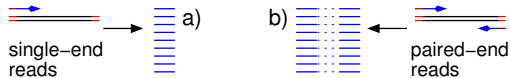
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break template into random fragments



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↓
sequence the ends of the fragments



↓
many sequencing reads

Aim: reconstruct template sequence from reads

- reference-guided assembly (map against reference)
- de-novo assembly (reconstruct directly from reads)

Reference-based Genome Assembly

- If a reference genome of the sequenced species exists (or a relatively close taxonomic relative), we can use it to guide the assembly.
- The reads are mapped to the reference genome using approximative search algorithms.
- The closer the reference is to the sequenced genome, the easier is the mapping and assembly.
- From mapped contiguous reads we construct consensus sequences - the contigs.

Why re-sequencing if a close reference genome already exists?

- Typically one does not re-sequence exactly the same individual the reference originated from.
- Usually one uses the reference to find
 - the differences in an individual carrying a disease (e.g. personalized medicine),
 - the characteristic changes in a new infectious virus (epidemiology),
 - the abundance of alleles in a population (population genetics),
 - or just to make the assembly of the (yet unassembled) genome of a related species a little bit easier.

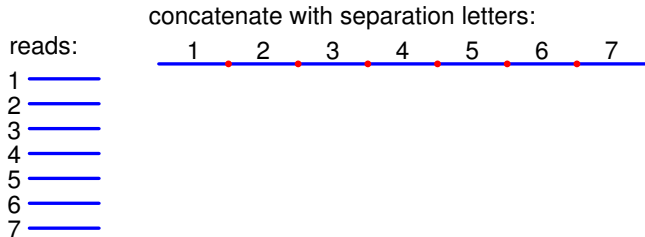
- If **no reference genome** exists, assembling the sequenced genome is much harder.
- We have to find overlapping reads to stitch them together to longer and longer contigs.

Overlap Layout Consensus (as in CAP3): Overlap search

reads:

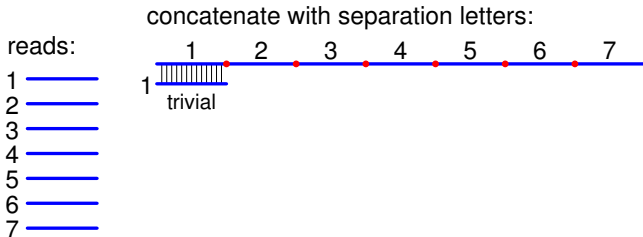
1 _____
2 _____
3 _____
4 _____
5 _____
6 _____
7 _____

Overlap Layout Consensus (as in CAP3): Overlap search



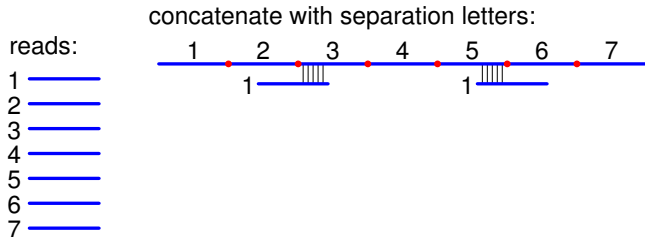
- 1 concatenate reads (separated by a separation character)

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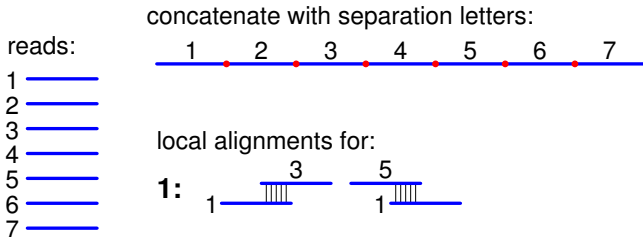
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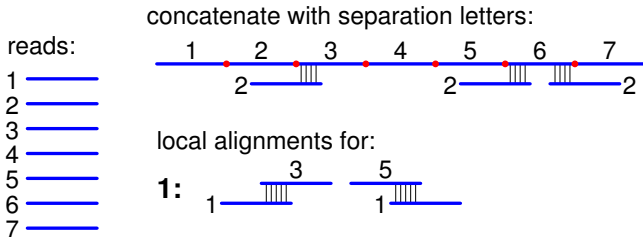
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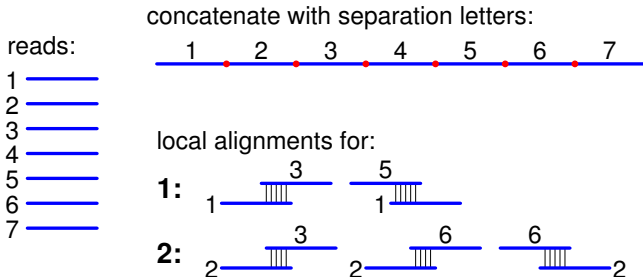
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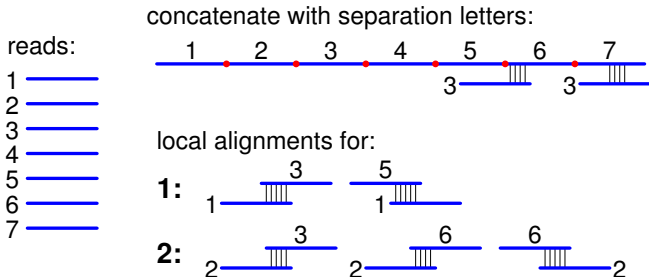
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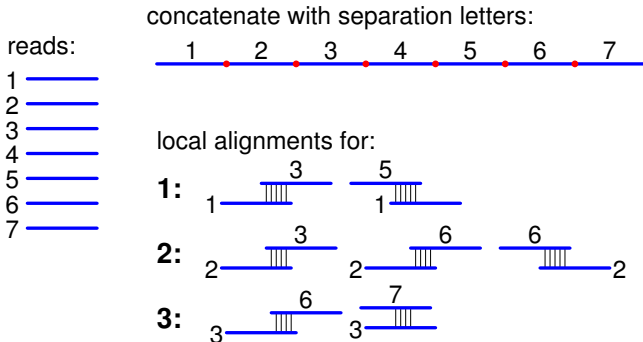
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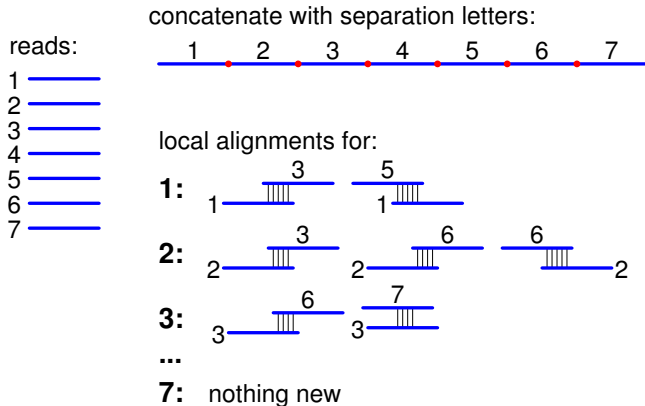
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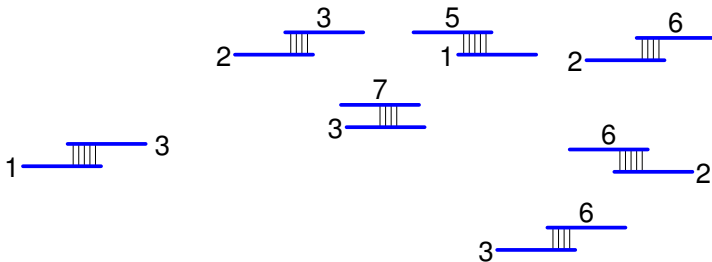
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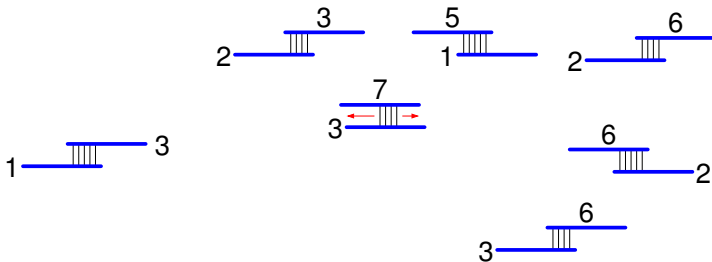
- 1 concatenate reads (separated by a separation character)
- 2 identify candidate overlaps (local alignments of reads against the concatenated string)
 - discard the trivial matches (i.e. read i matches itself)
 - each pair only once (result of i vs j should be identical to j vs i)

Overlap Layout Consensus (as in CAP3): Filtering



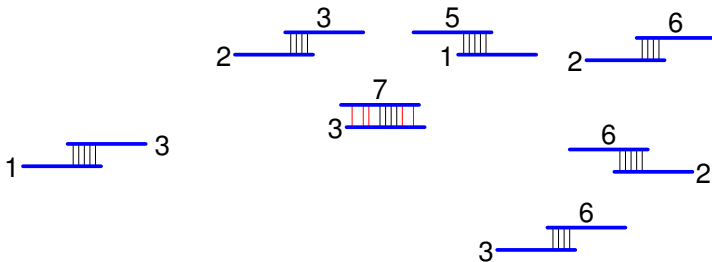
- 3 remove poor quality reads

Overlap Layout Consensus (as in CAP3): Filtering



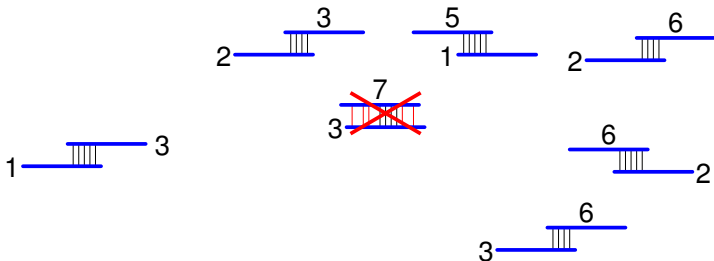
- 3 remove poor quality reads
- 4 compute global alignment for high quality pairs.

Overlap Layout Consensus (as in CAP3): Filtering



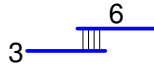
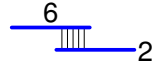
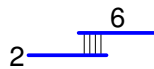
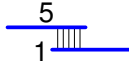
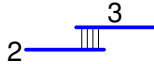
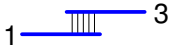
- ③ remove poor quality reads
- ④ compute global alignment for high quality pairs.
- ⑤ evaluate alignments due to
 - ① minimum length
 - ② minimum identity
 - ③ minimum similarity
 - ④ number of high-quality (true) mismatches

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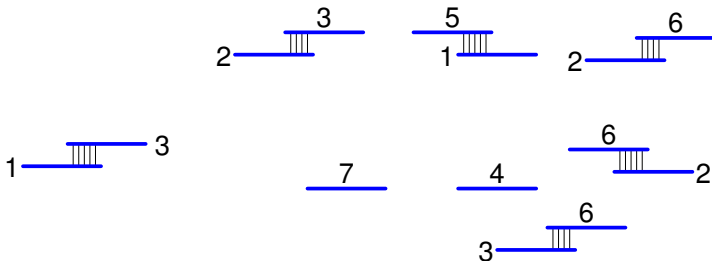


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- ⑥ remove pairs that do not match thresholds 5.1-5.4.

Overlap Layout Consensus (as in CAP3): Contig building

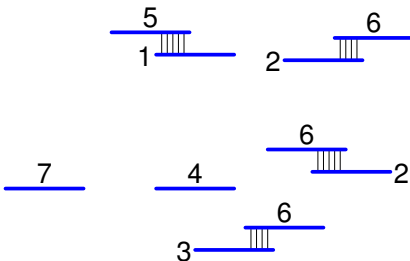
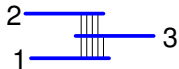


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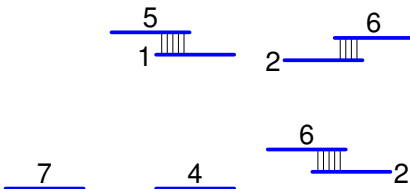
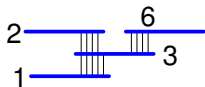
- 7 add all reads without overlaps

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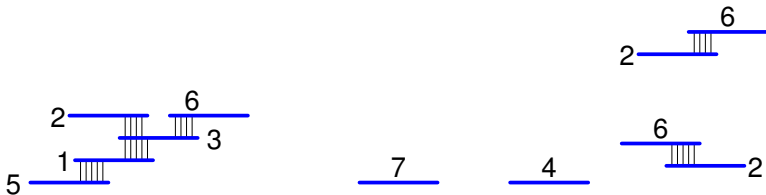
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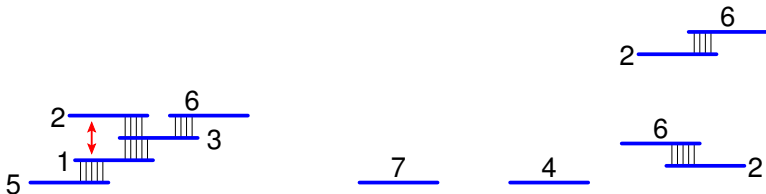
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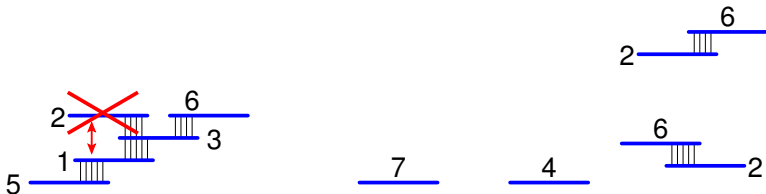
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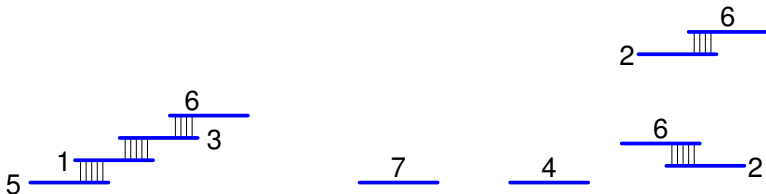
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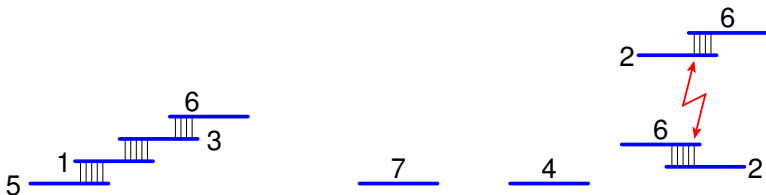
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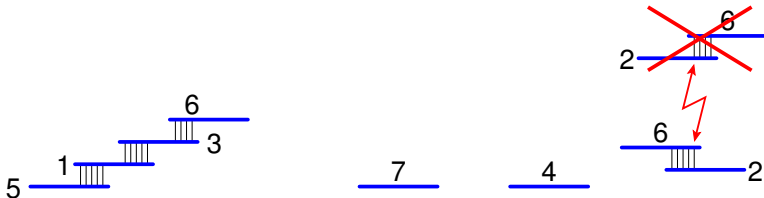
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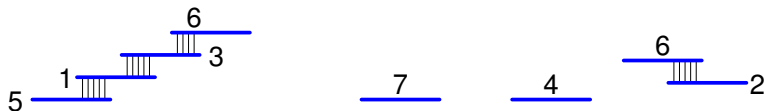
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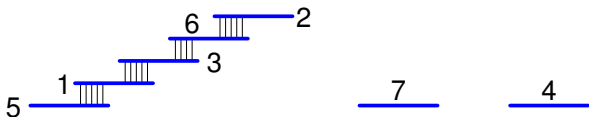
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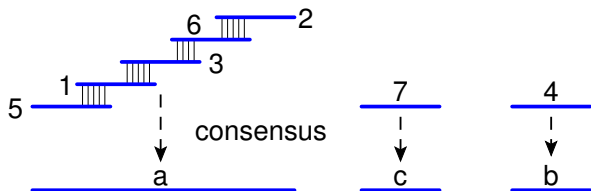
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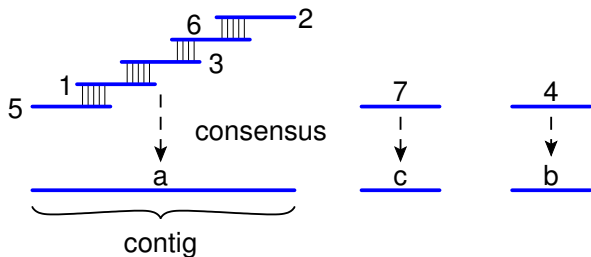
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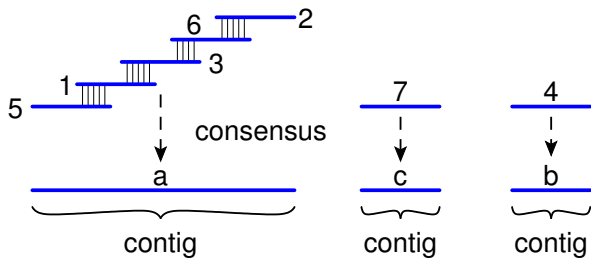
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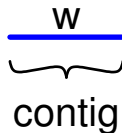
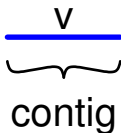
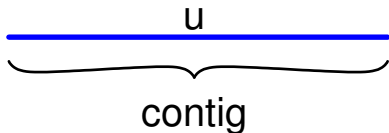
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(now, assume that all above contigs were constructed from many shorter reads.)

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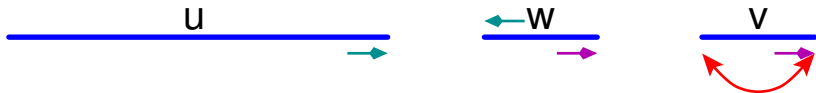
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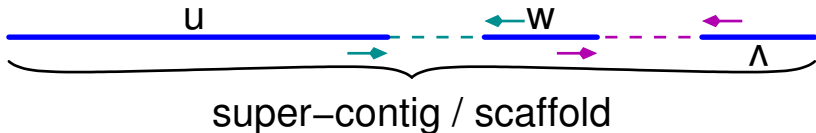
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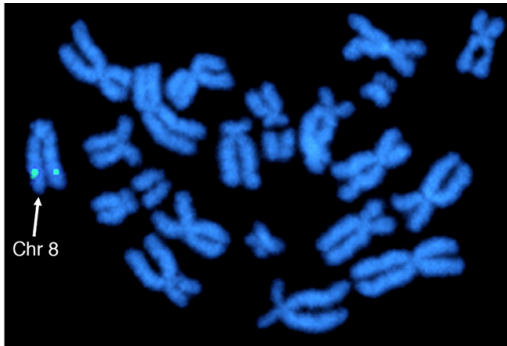


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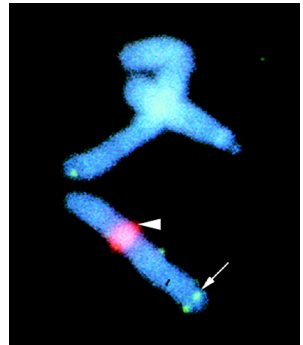
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- 15 fill the gaps with N's according to the insert sizes used when preparing the sequencing library
- 16 the joined contigs are called super-contigs or scaffolds

Assembly Completeness and Contig Location

- usually it is not possible to easily assemble each chromosome into a single contig or scaffold (e.g. due to repeats, low quality regions, too low read coverage)
- thus, it can be important to locate scaffolds in the genome using, e.g., FISH (fluorescence in-situ hybridization) with genetic markers.



Source: www.stjude-research.org

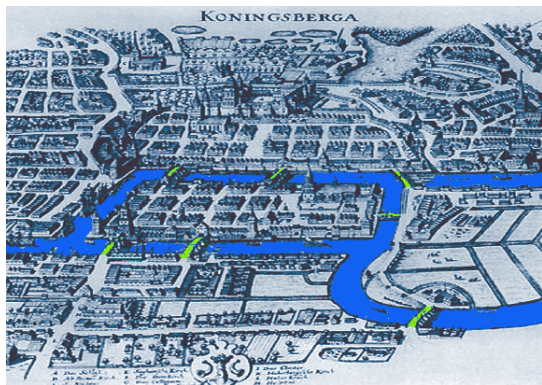


Source: Westbrock et al. (2008)
red chromosome marker, green probe

Assembly from 2nd and 3rd generation sequencing reads

- CAP3 has been developed for Sanger sequencing reads.
- NGS reads are typically shorter and come in huge numbers.
- Thus, also the overlaps are short, producing false positives easily.
- Assembly of NGS data works along the same principles.
- However they have to employ more elaborate methods to deal with the amount of data, the short overlaps and to efficiently detect false positive overlaps.
- A number of such tools apply approaches like *de Bruijn graphs*.

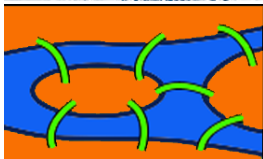
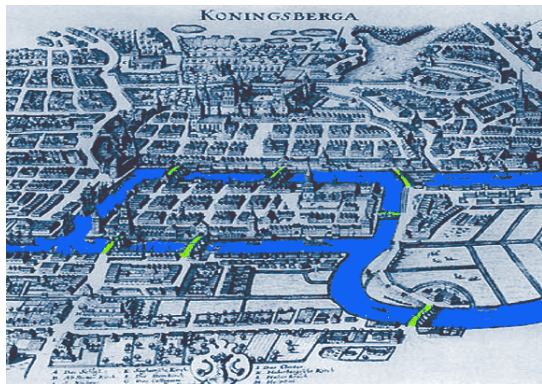
Excursion: Beginning of Graph Theory



Leonhard Euler (1707-1783)

Popular 18th century problem:
*Is there a walk through
Königsberg using each bridge
exactly once?*

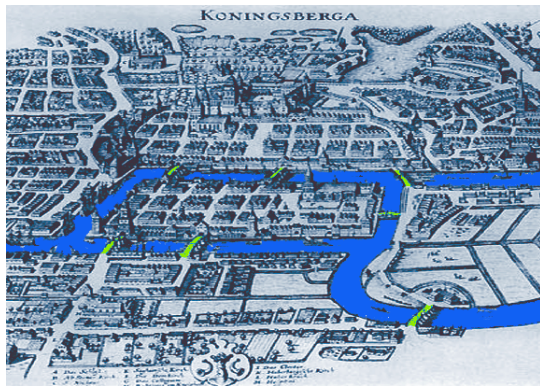
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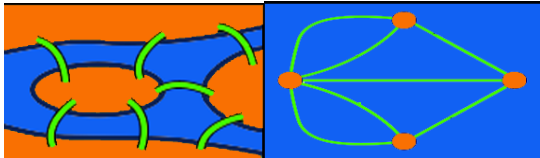
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Euler Paths and Tours

Given an undirected connected graph $G = (V, E)$ with nodes V and edges E , we define:

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Euler Tour or Circuit or Cycle

is a path visiting each edge (of a graph) exactly once and ending at the starting point.

Euler Paths and Tours: Solution?

Leonhard Euler (1735) showed that the existence of such paths or tours depend on the degree of the nodes.

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123 SOLVITIO PROBLEMATIS
SOLVITIO PROBLEMATIS
AD
GEOMETRIAM SITVS
PERTINENTIS.
AVCTORE
Leonb. Eulero.

§. 1.

Talis VIII. **P**raeter illam Geometriae partem, quae circa quantitates versatur, et omni tempore summo spectu est exalta, alterius partis etiamsum admodum ignotae primus mentionem fecit *Leibnitzius*, quam Geometriam finis vocavit. Illa pars ab *Aplo* in solo suo determinando, finisque proprietatibus erendis occupata esse statuitur; in quo negotio neque ad quantitates respiciendum, neque calculo quantitatum utendum sit. Cuiusmodi autem problemata ad hanc finis Geometriam pertineant, et quali methodo in his resolvendis vii operetur, non satis est definitum. Quamobrem, cum nuper problematis cuiusdam mentio esset facta, quod quidem ad geometriam pertinere videbatur, et ita erat comparatum, ut neque determinationem quantitatum requireret, neque solutionem calculi quantitatum ope admitteret, id ad geometriam finis referre haud dubitavi: praesertim quod in eius solutione solus finis in considerationem veniat, calculus vero nullius profus sit visus. Methodum ergo istam quam ad huius generis proble-

mata

Euler Paths and Tours on directed graphs

In directed graphs, that means, that edges have only one direction in which they can be crossed. . .

Euler Tour

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Euler Path

exists iff there at most one node with $in-degree - out-degree = 1$ exists at most one node with $out-degree - in-degree = 1$.

Note, in graph theory the terms *node* and *vertex* (pl. vertices) are used interchangeably, as are *directed edge* and *arc*.

Variation: Hamiltonian Paths and Tours



William Rowan Hamilton
(1805-1865)

Variation: Hamiltonian Paths and Tours

Hamiltonian Path (or traceable path)

is a path that visits every **node** (of a graph) exactly once.



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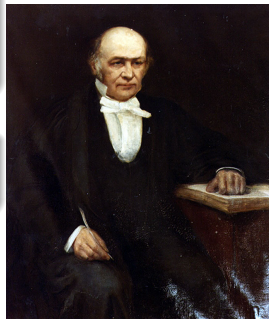
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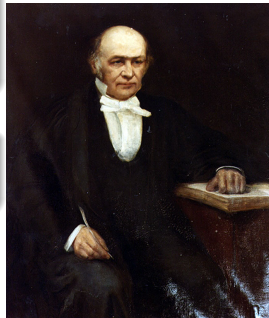
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Problems:

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- Hamiltonian Tours are a special case of the Traveling Salesman Problem.



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- Find the shortest **circular superstring** that contains all possible k -mers as substrings.



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- For example, the number DNA-triplets:
 $n^k = 4^3 = 64$.



Nicolaas de Bruijn (1918-2012)

De Bruijn Graph

- **nodes:** for all possible $(k - 1)$ -mers

De Bruijn Graph

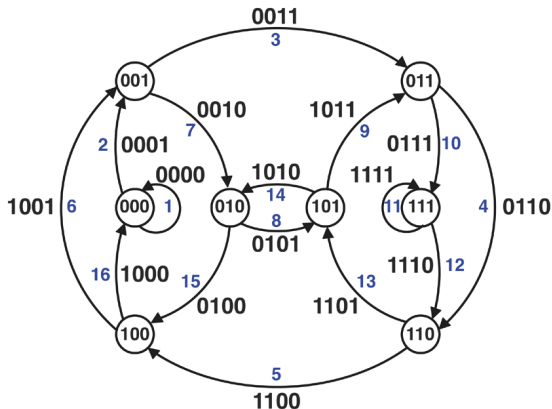
- **nodes**: for all possible $(k - 1)$ -mers
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De Bruijn Graph

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- **edges**: directed links between nodes **a** and **b** if the $k - 2$ -long prefix of **b** is the suffix of **a**.
- Note: A Eulerian Tour exists because every node have one in-edge and one out-edge for each character in Σ .

De Bruijn Graph Example

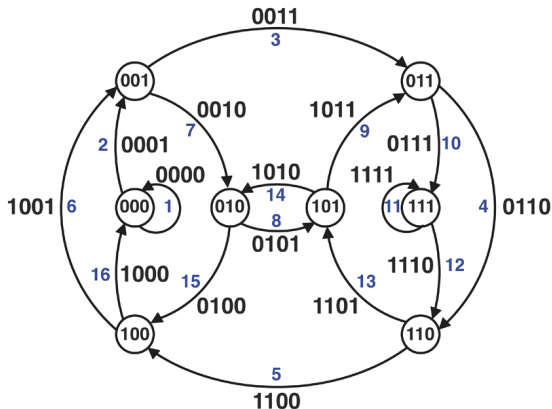
De Bruijn Graph for $k = 4$ and $\Sigma = \{0, 1\}$:



- The nodes are labeled with 000, 001, 010, 011, 100, 101, 110, 111.
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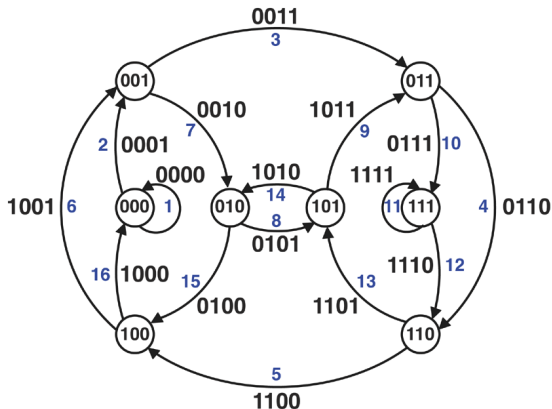
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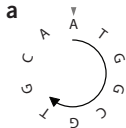
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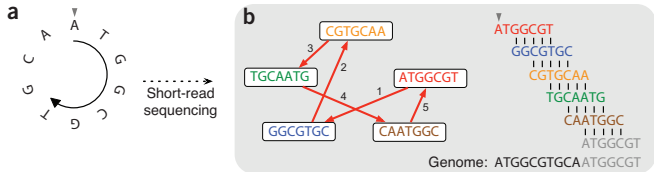


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- The Eulerian Tour (marked by blue numbers) spells out the circular superstring: 0000110010111101.

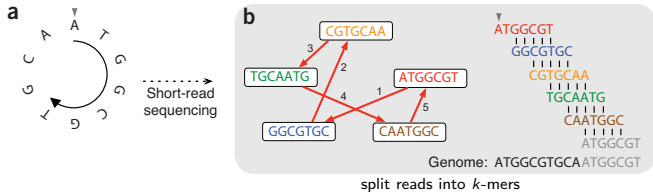
De Bruijn Graphs in Sequence Assembly



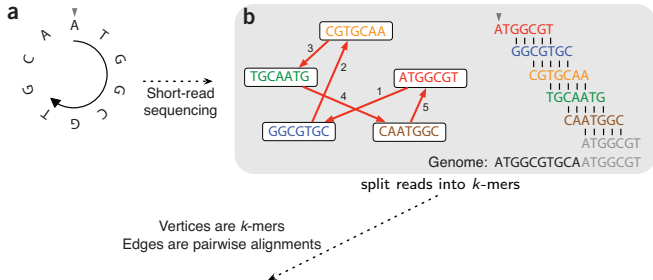
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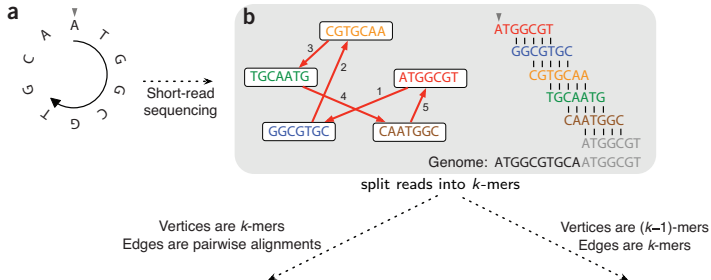
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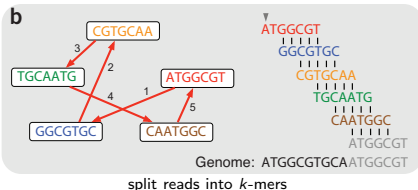
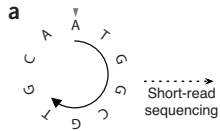
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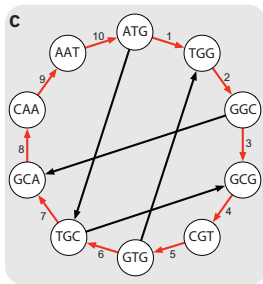


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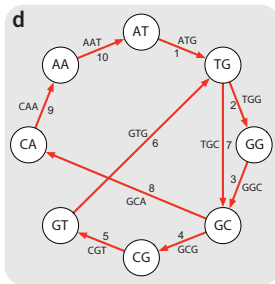
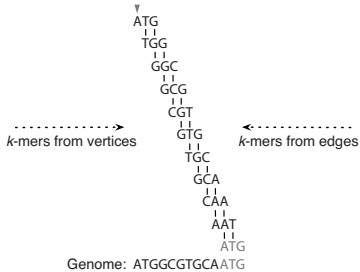


Vertices are k -mers
Edges are pairwise alignments

Vertices are $(k-1)$ -mers
Edges are k -mers



Visit each vertex once
(harder to solve)



Visit each edge once
(easier to solve)

Source: Compeau et al. (2011)

Sequence De Bruijn Graph (from reads to graph)

read 1:

G G A C T A A A T

- construct a de Bruijn graph for each read with $k - 1 = 3$

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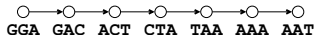
```
G  G  A  C  T  A  A  A  T
G  G  A
   G  A  C
     A  C  T
       C  T  A
         T  A  A
           A  A  A
             A  A  T
```

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```

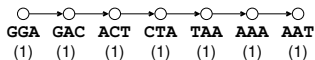


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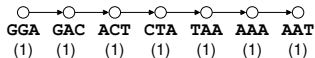


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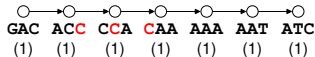
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```
G G A C T A A A T
G G A
  G A C
    A C T
      C T A
        T A A
          A A A
            A A T
```



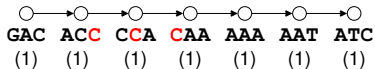
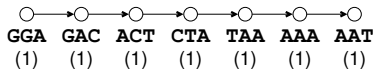
read 2:

```
G A C C A A A T C
G A C
  A C C
    C C A
      A A A
        A A A
          A A T
            A T C
```



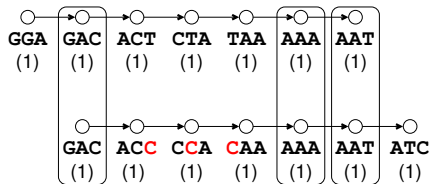
- construct a de Bruijn graph for each read with $k - 1 = 3$
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Sequence De Bruijn Graph (merging identical nodes)



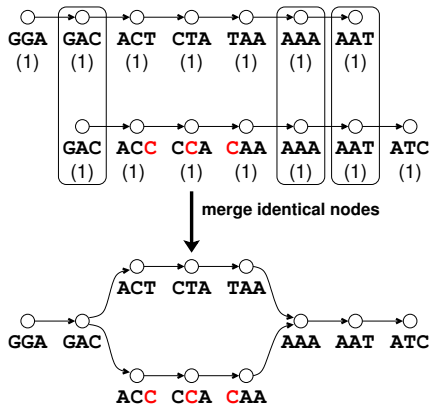
- take all 'read graphs'

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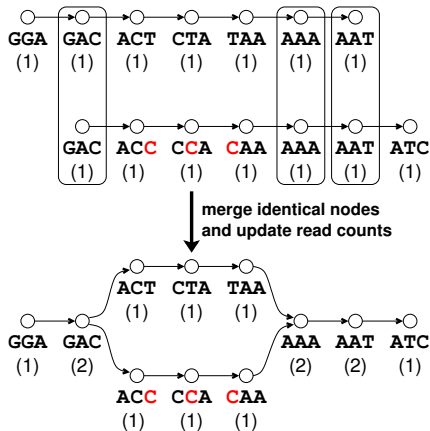
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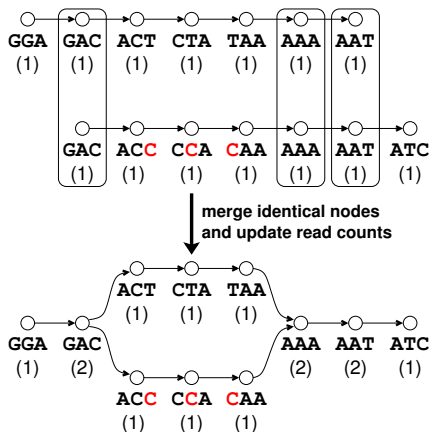
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- ... and merge identical nodes
- ... gaining a large de Bruijn graph

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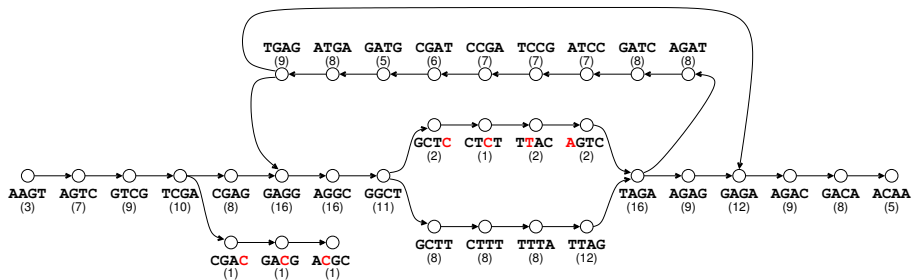
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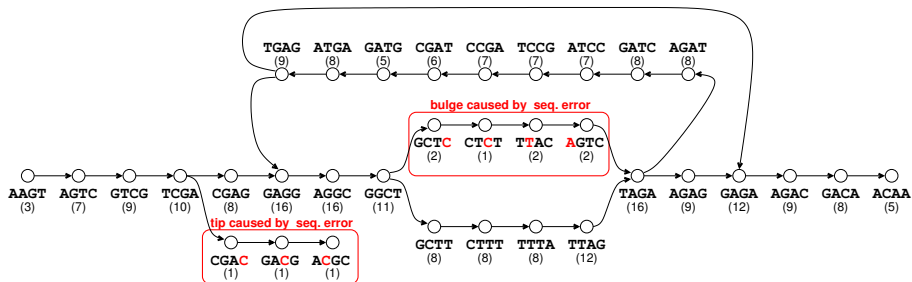


- take all 'read graphs'
- ... and merge identical nodes
- ... gaining a large de Bruijn graph
- sum up the read counts at the merged node
- do repeat this for all other reads

Sequence De Bruijn Graph (reducing the graph to contigs)

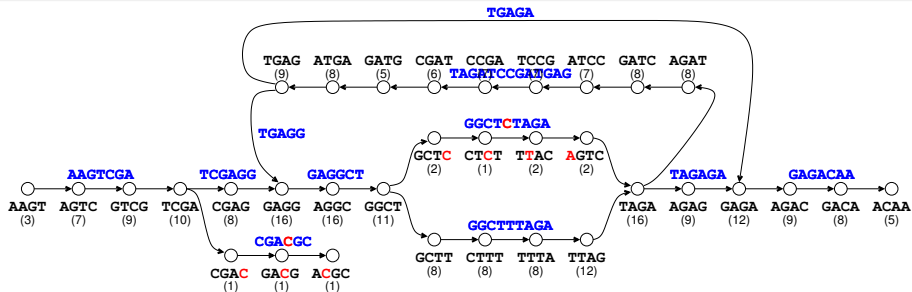


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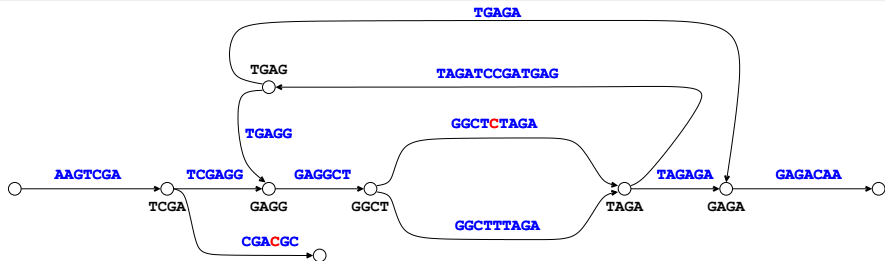
- sequencing errors cause tips and bulges with low read counts and can be identified using thresholds

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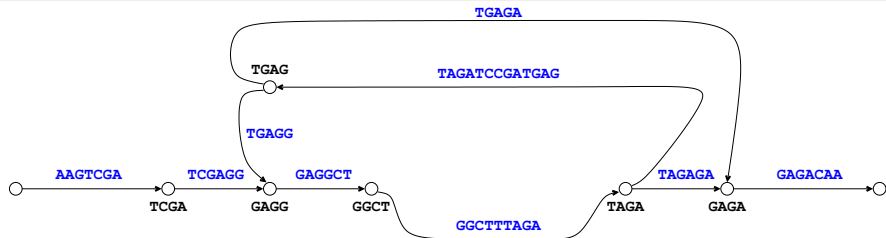
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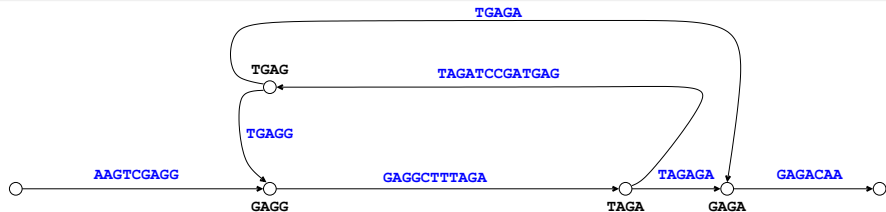
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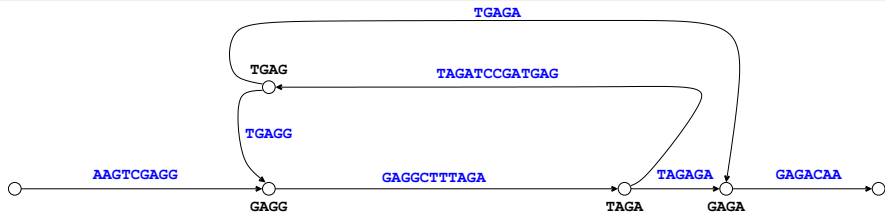
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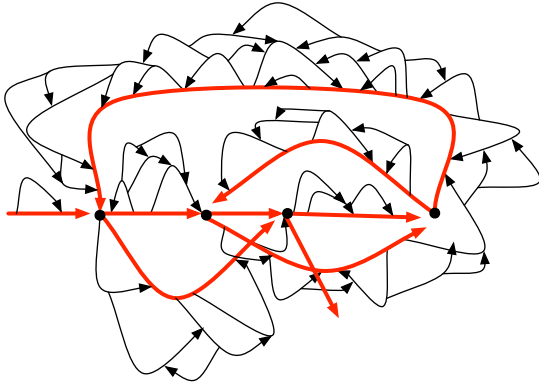
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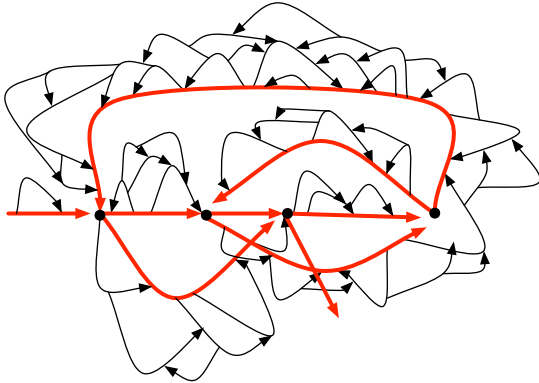
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- re-compactify the graph
- all the labels on the non-branching paths are our reconstructed contigs

De Bruijn Graphs for Assembly with Sequencing Errors



- The number of reads participating in bulges and tips tell us which are the frequent, and thus likely true ones.

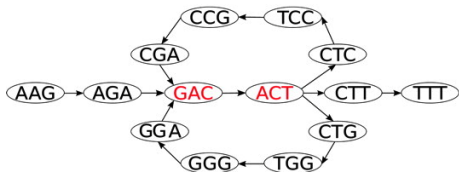
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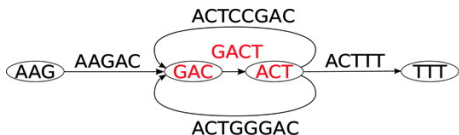
- The number of reads participating in bulges and tips tell us which are the frequent, and thus likely true ones.
- Bulges and tips with few reads are removed.

De Bruijn Graphs for Assembly with Repeats

AAGACTCCGACTGGGACTTT



A de Bruijn graph of a sequence



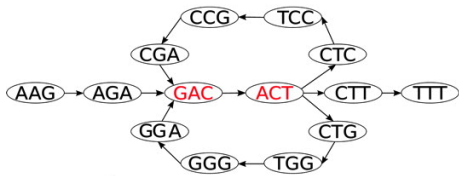
B condensed de Bruijn graph

- In the case of repeats, Euler paths are not possible, because the edges of the repeated region have to be used repeatedly.

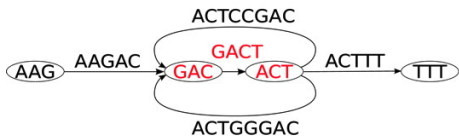
Source: Chaisson et al. (2009)

De Bruijn Graphs for Assembly with Repeats

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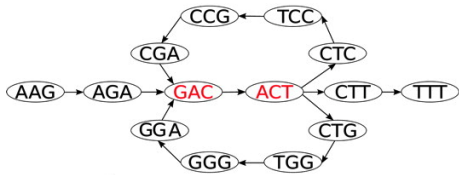
B condensed de Bruijn graph

- In the case of repeats, Euler paths are not possible, because the edges of the repeated region have to be used repeatedly.
- Typically the order in which edges from a repeat have to be followed cannot be determined. Then the paths have to be kept as separate contigs.

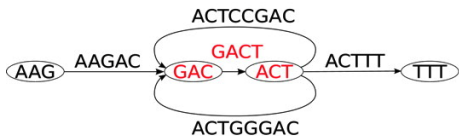
Source: Chaisson et al. (2009)

De Bruijn Graphs for Assembly with Repeats

AAGACTCCGACTGGGACTTT



A de Bruijn graph of a sequence



B condensed de Bruijn graph

Source: Chaisson et al. (2009)

- In the case of repeats, Euler paths are not possible, because the edges of the repeated region have to be used repeatedly.
- Typically the order in which edges from a repeat have to be followed cannot be determined. Then the paths have to be kept as separate contigs.
- Exception: if we have reads or paired-end information, which reach longer than the repeat, this helps to order the contigs.

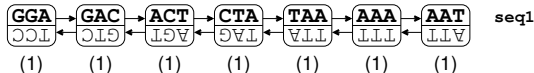
seq1: GGACTAAAT

- Problem: How do we know which strand our read is from? We don't!

Bidirected de Bruijn Graphs (Medvedev et al. 2007)

seq1: GGACTAAAT

convert to bidirectional
De Bruijn subgraphs

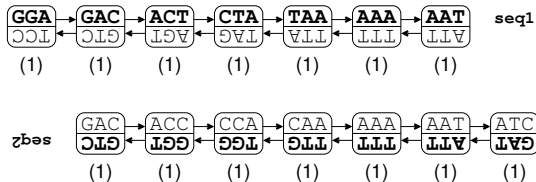


- Problem: How do we know which strand our read is from? We don't!
- With bidirected de Bruijn Graphs one can cover k-mers and their complements.

Bidirected de Bruijn Graphs (Medvedev et al. 2007)

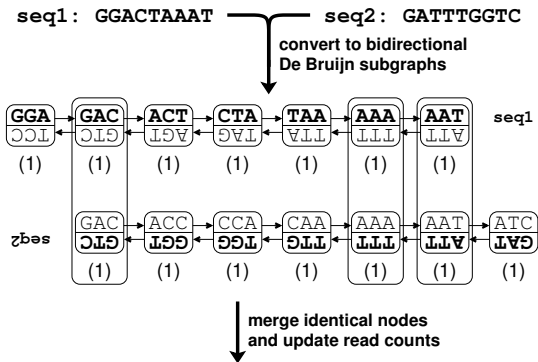
seq1: GGACTAAAT seq2: GATTTGGTC

convert to bidirectional
De Bruijn subgraphs



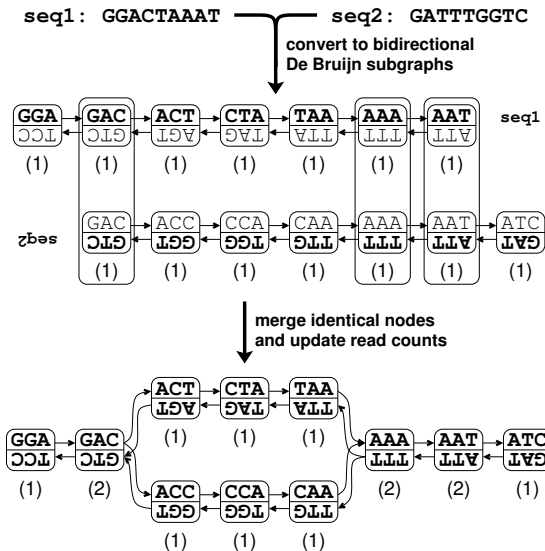
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Bidirected de Bruijn Graphs (Medvedev et al. 2007)



- Problem: How do we know which strand our read is from? We don't!

- With bidirected de Bruijn Graphs one can cover k-mers and their complements.

- Note:

At no time two nodes with identical strings can exist in one (sub)graph, and both strings have to be treated equally.

Coverage

Coverage describes the average number of times a nucleotide in the template DNA has been sequenced which is equivalent to the number of reads that cover each nucleotide on average.

$$\text{coverage} = \frac{\sum_{i \in \{\text{all reads}\}} \text{length of read } i}{\text{length of template or genome}}$$

Rule of thumb: the higher the better!

The quality of an assembly is hard to measure. Typically several values are used like

- maximum contig/scaffold length
- average contig/scaffold length
- combined total length
- the N50 or the NG50 value

N50 and NG50

N50 value

All contigs/scaffold are ordered descending in size. Starting from the largest contig/scaffold add their lengths. The N50 value is the length of the first contig, for which this sum of contig lengths covers $\geq 50\%$ of the total length of contigs/scaffolds, i.e. the entire assembly.

Rule of thumb: the longer the better!

NG50 value

All contigs/scaffold are ordered descending in size. Starting from the largest contig/scaffold add their lengths. The NG50 value is the length of the first contig, for which this sum of contig lengths covers $\geq 50\%$ of the total length of the **sequenced genome**.

Rule of thumb: the longer the better!

Sometimes other percentages than 50% are used leading to, e.g. N70 etc.

The whole procedure gets much easier if we have a [reference genome](#) available.

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- mapping using search tools like BLAST or BLAT

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- mapping using search tools like BLAST or BLAT
- dynamic programming (e.g. Smith-Waterman) with pre-filtering to keep the candidate regions small, using
 - *hash-based k-mer index*
 - *spaced-seeds index*
- Approaches using the *Burrows-Wheeler-Transform* (BWT) of the reference sequence,

and the mapped reads are then summarized to contigs using consensus approaches.

Hash-based approaches

- Hash-based approaches typically require matching seed sequences (one or several) to identify candidate regions to be checked (similar to Baeza-Yeates-Perleberg)

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- often contiguous seeds are used (e.g. perfectly matching words of length k)

... **A C T A T C A T C G T A C A C A T** ... reference sequence

A C T A T C A T T G T A C A C A T query sequence

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	1 1 1 1 1 1 1 1 1	seed encoding
... A C T A T C A T C G T A C A C A T ...		reference sequence
	T C A T C G T A C	seed sequence (len=9)
A C T A T C A T T G T A C A C A T		query sequence

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- Another way is to use *spaced seeds*, i.e. that only certain letters in a longer word have to match.

	1 0 0 0 1 1 1 0 0 0 1 1 1 0 0 1 1	seed encoding
... A C T A T C A T C G T A C A C A T ...		reference sequence
A . . . T C A . . . T A C . . A T		spaced seed (weight=9, len=17)
A C T A T C A T T G T A C A C A T		query sequence

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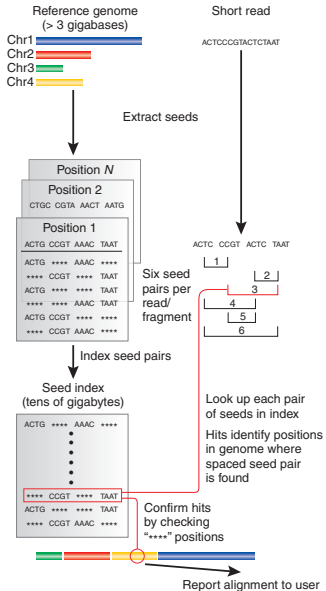
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A . . . T C A . . . T A C . . A T		spaced seed (weight=9, len=17)
A C T A T C A T T G T A C A C A T		query sequence

- It has been shown that the use of *spaced seeds* is much more sensitive, missing less hits. Especially, when using sets of spaced seeds.

Hash-based mapping



- mapping with candidate filtering based on (spaced) seed matches is easy to implement
- however, to generate a typical seed index is memory-intensive (about 50GB for the human genome of 3 Gbp)
- the example uses six spaced seeds (1111111100000000, 0000000011111111, 0000111100001111, 1111000011110000, 0000111111110000, 1111000000001111)
- from all candidates the actual best hit position of the read has to be found by alignment and reported

Burrows-Wheeler-Transform (BWT) - encoding

Methods using the Burrows-Wheeler-Transform (BWT) for mapping generate the BWT from the text, e.g. the genome, adding an start (^) and end character (\$):

(Note, in the example we assume that ^ and \$ sort after the letters.)

use text with start+end:

^ B A N A N A \$

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$ ^ B A N A N A
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^ B A N A N A \$
\$ ^ B A N A N A
A \$ ^ B A N A N
N A \$ ^ B A N A

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\$ ^ B A N A N A
A \$ ^ B A N A N
N A \$ ^ B A N A
A N A \$ ^ B A N
N A N A \$ ^ B A
A N A N A \$ ^ B
B A N A N A \$ ^

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use text with start+end:

generate all rotations:

sort lexicographically:

^ B A N A N A \$

**^ B A N A N A \$
\$ ^ B A N A N A
A \$ ^ B A N A N
N A \$ ^ B A N A
A N A \$ ^ B A N
N A N A \$ ^ B A
A N A N A \$ ^ B
B A N A N A \$ ^**

**A N A N A \$ ^ B
A N A \$ ^ B A N
A \$ ^ B A N A N
B A N A N A \$ ^
N A N A \$ ^ B A
N A \$ ^ B A N A
^ B A N A N A \$
\$ ^ B A N A N A**

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B A N A N A \$ ^

sort lexicographically:

A N A N A \$ ^ B
A N A \$ ^ B A N
A \$ ^ B A N A N
B A N A N A \$ ^
N A N A \$ ^ B A
N A \$ ^ B A N A
^ B A N A N A \$
\$ ^ B A N A N A

BWT is the last column:

B N N ^ A A \$ A

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use text with start+end:

generate all rotations:

sort lexicographically:

BWT is the last column:

^ B A N A N A \$

```
^ B A N A N A $
$ ^ B A N A N A
A $ ^ B A N A N
N A $ ^ B A N A
A N A $ ^ B A N
N A N A $ ^ B A
A N A N A $ ^ B
B A N A N A $ ^
```

```
A N A N A $ ^ B
A N A $ ^ B A N
A $ ^ B A N A N
B A N A N A $ ^
N A N A $ ^ B A
N A $ ^ B A N A
^ B A N A N A $
$ ^ B A N A N A
```

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use text with start+end:

generate all rotations:

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^ B A N A N A \$

```
^ B A N A N A $
$ ^ B A N A N A
A $ ^ B A N A N
N A $ ^ B A N A
A N A $ ^ B A N
N A N A $ ^ B A
A N A N A $ ^ B
B A N A N A $ ^
```

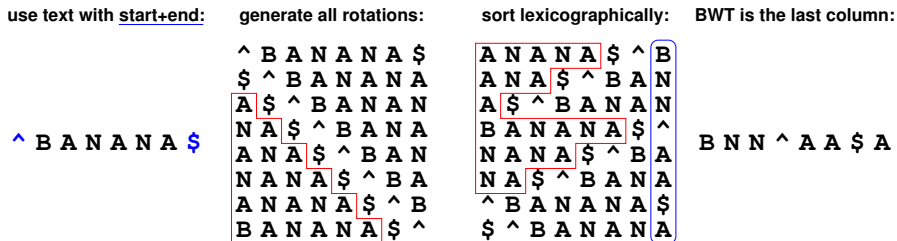
```
A N A N A $ ^ B
A N A $ ^ B A N
A $ ^ B A N A N
B A N A N A $ ^
N A N A $ ^ B A
N A $ ^ B A N A
^ B A N A N A $
$ ^ B A N A N A
```

B N N ^ A A \$ A

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Methods using the Burrows-Wheeler-Transform (BWT) for mapping generate the BWT from the text, e.g. the genome, adding an start (^) and end character (\$):

(Note, in the example we assume that ^ and \$ sort after the letters.)



Originally, the Burrows-Wheeler-Transform (BWT) has been introduced in the field of data compression, because (a) the BTW compresses better than the original text and (b) one can decode the original text from the BWT.

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from
the BTW

B
N
N
^
A
A
\$
A

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from
the BTW

B
N
N
^
A
A
\$
A

sort

A
A
A
B
N
N
^
\$

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column
B	A	A . . . B
N	A	A . . . N
N	A	A . . . N
^	B	B . . . ^
A	N	N . . . A
A	N	N . . . A
\$	^	^ . . . \$
A	\$	\$. . . A

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)
B	A	A . . . B	B A . . .
N	A	A . . . N	N A . . .
N	A	A . . . N	N A . . .
^	B	B . . . ^	^ B . . .
A	N	N . . . A	A N . . .
A	N	N . . . A	A N . . .
\$	^	^ . . . \$	\$ ^ . . .
A	\$	\$. . . A	A \$. . .

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort
B	A	A . . . B	B A . . .	A N . . .
N	A	A . . . N	N A . . .	A N . . .
N	A	A . . . N	N A . . .	A \$. . .
^	B	B . . . ^	^ B . . .	B A . . .
A	N	N . . . A	A N . . .	N A . . .
A	N	N . . . A	A N . . .	N A . . .
\$	^	^ . . . \$	\$ ^ . . .	^ B . . .
A	\$	\$. . . A	A \$. . .	\$ ^ . . .

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)
B	A	A . . . B	B A . . .	A N . . .	B A N . . .
N	A	A . . . N	N A . . .	A N . . .	N A N . . .
N	A	A . . . N	N A . . .	A \$. . .	N A \$. . .
^	B	B . . . ^	^ B . . .	B A . . .	^ B A . . .
A	N	N . . . A	A N . . .	N A . . .	A N A . . .
A	N	N . . . A	A N . . .	N A . . .	A N A . . .
\$	^	^ . . . \$	\$ ^ . . .	^ B . . .	\$ ^ B . . .
A	\$	\$. . . A	A \$. . .	\$ ^ . . .	A \$ ^ . . .

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B	A	A . . . B	B A . . .	A N . . .	B A N . . .	A N A
N	A	A . . . N	N A . . .	A N . . .	N A N . . .	A N A
N	A	A . . . N	N A . . .	A \$. . .	N A \$. . .	A \$ ^
^	B	B . . . ^	^ B . . .	B A . . .	^ B A . . .	B A N
A	N	N . . . A	A N . . .	N A . . .	A N A . . .	N A N
A	N	N . . . A	A N . . .	N A . . .	A N A . . .	N A \$
\$	^	^ . . . \$	\$ ^ . . .	^ B . . .	\$ ^ B . . .	^ B A
A	\$	\$. . . A	A \$. . .	\$ ^ . . .	A \$ ^ . . .	\$ ^ B

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B N N ^ A A \$ A	A A A B N N ^ \$	A ... B A ... N A ... N B ... ^ N ... A N ... A ^ ... \$ \$... A	B A ... N A ... N A ... ^ B ... A N ... A N ... \$ ^ ... A \$...	A N ... A N ... A \$... B A ... N A ... N A ... ^ B ... \$ ^ ...	B A N ... N A N ... N A \$... ^ B A ... A N A ... A N A ... \$ ^ B ... A \$ ^ ...	A N A A N A A \$ ^ B A N N A N N A \$ ^ B A \$ ^ B

add BTW
and sort

A N A N
A N A \$
A \$ ^ B
B A N A
N A N A
N A \$ ^
^ B A N
\$ ^ B A

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B N N ^ A A \$ A	A A A B N N ^ \$	A ... B A ... N A ... N B ... ^ N ... A N ... A ^ ... \$ \$... A	B A ... N A ... N A ... ^ B ... A N ... A N ... \$ ^ ... A \$...	A N ... A N ... A \$... B A ... N A ... N A ... ^ B ... \$ ^ ...	B A N ... N A N ... N A \$... ^ B A ... A N A ... A N A ... \$ ^ B ... A \$ ^ ...	A N A A N A A \$ ^ B A N N A N N A \$ ^ B A \$ ^ B

add BTW
and sort

A N A N
A N A \$
A \$ ^ B
B A N A
N A N A
N A \$ ^
^ B A N
\$ ^ B A

add BTW
and sort

A N A N A
A N A \$ ^
A \$ ^ B A
B A N A N
N A N A \$
N A \$ ^ B
^ B A N A
\$ ^ B A N

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B	A	A ... B	B A ...	A N ...	B A N ...	A N A
N	A	A ... N	N A ...	A N ...	N A N ...	A N A
N	A	A ... N	N A ...	A \$...	N A \$...	A \$ ^
^	B	B ... ^	^ B ...	B A ...	^ B A ...	B A N
A	N	N ... A	A N ...	N A ...	A N A ...	N A N
A	N	N ... A	A N ...	N A ...	A N A ...	N A \$
\$	^	^ ... \$	\$ ^ ...	^ B ...	\$ ^ B ...	^ B A
A	\$	\$... A	A \$...	\$ ^ ...	A \$ ^ ...	\$ ^ B

add BTW and sort	add BTW and sort	add BTW and sort
A N A N	A N A N A	A N A N A \$
A N A \$	A N A \$ ^	A N A \$ ^ B
A \$ ^ B	A \$ ^ B A	A \$ ^ B A N
B A N A	B A N A N	B A N A N A
N A N A	N A N A \$	N A N A \$ ^
N A \$ ^	N A \$ ^ B	N A \$ ^ B A
^ B A N	^ B A N A	^ B A N A N
\$ ^ B A	\$ ^ B A N	\$ ^ B A N A

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B	A	A ... B	B A ...	A N ...	B A N ...	A N A
N	A	A ... N	N A ...	A N ...	N A N ...	A N A
N	A	A ... N	N A ...	A \$...	N A \$...	A \$ ^
^	B	B ... ^	^ B ...	B A ...	^ B A ...	B A N
A	N	N ... A	A N ...	N A ...	A N A ...	N A N
A	N	N ... A	A N ...	N A ...	A N A ...	N A \$
\$	^	^ ... \$	\$ ^ ...	^ B ...	\$ ^ B ...	^ B A
A	\$	\$... A	A \$...	\$ ^ ...	A \$ ^ ...	\$ ^ B

add BTW and sort	add BTW and sort	add BTW and sort	add BTW and sort
A N A N	A N A N A	A N A N A \$	A N A N A \$ ^
A N A \$	A N A \$ ^	A N A \$ ^ B	A N A \$ ^ B A
A \$ ^ B	A \$ ^ B A	A \$ ^ B A N	A \$ ^ B A N A
B A N A	B A N A N	B A N A N A	B A N A N A \$
N A N A	N A N A \$	N A N A \$ ^	N A N A \$ ^ B
N A \$ ^	N A \$ ^ B	N A \$ ^ B A	N A \$ ^ B A N
^ B A N	^ B A N A	^ B A N A N	^ B A N A N A
\$ ^ B A	\$ ^ B A N	\$ ^ B A N A	\$ ^ B A N A N

Burrows-Wheeler-Transform (BWT) - decoding

From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B N N ^ A A \$ A	A A A B N N ^ \$	A ... B A ... N A ... N B ... ^ N ... A N ... A ^ ... \$ \$... A	B A ... N A ... N A ... ^ B ... A N ... A N ... \$ ^ ... A \$...	A N ... A N ... A \$... B A ... N A ... N A ... ^ B ... \$ ^ ...	B A N ... N A N ... N A \$... ^ B A ... A N A ... A N A ... \$ ^ B ... A \$ ^ ...	A N A A N A A \$ ^ B A N N A N N A \$ ^ B A \$ ^ B

add BTW and sort	add BTW and sort	add BTW and sort	add BTW and sort	...until the matrix has its width again
A N A N	A N A N A	A N A N A \$	A N A N A \$ ^	A N A N A \$ ^ B
A N A \$	A N A \$ ^	A N A \$ ^ B	A N A \$ ^ B A	A N A \$ ^ B A N
A \$ ^ B	A \$ ^ B A	A \$ ^ B A N	A \$ ^ B A N A	A \$ ^ B A N A N
B A N A	B A N A N	B A N A N A	B A N A N A \$	B A N A N A \$ ^
N A N A	N A N A \$	N A N A \$ ^	N A N A \$ ^ B	N A N A \$ ^ B A
N A \$ ^	N A \$ ^ B	N A \$ ^ B A	N A \$ ^ B A N	N A \$ ^ B A N A
^ B A N	^ B A N A	^ B A N A N	^ B A N A N A	^ B A N A N A \$
\$ ^ B A	\$ ^ B A N	\$ ^ B A N A	\$ ^ B A N A N	\$ ^ B A N A N A

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From the BTW the original text can easily decoded:

start from the BTW	sort	we know 1st +last column	rotate (BTW front)	sort	rotate (BTW front)	sort
B N N ^ A A \$ A	A A A B N N ^ \$	A ... B A ... N A ... N B ... ^ N ... A N ... A ^ ... \$ \$... A	B A ... N A ... N A ... ^ B ... A N ... A N ... \$ ^ ... A \$...	A N ... A N ... A \$... B A ... N A ... N A ... ^ B ... \$ ^ ...	B A N ... N A N ... N A \$... ^ B A ... A N A ... A N A ... \$ ^ B ... A \$ ^ ...	A N A A N A A \$ ^ B A N N A N N A \$ ^ B A \$ ^ B

add BTW and sort	add BTW and sort	add BTW and sort	add BTW and sort	...until the matrix has its width again
A N A N	A N A N A	A N A N A \$	A N A N A \$ ^	A N A N A \$ ^ B
A N A \$	A N A \$ ^	A N A \$ ^ B	A N A \$ ^ B A	A N A \$ ^ B A N
A \$ ^ B	A \$ ^ B A	A \$ ^ B A N	A \$ ^ B A N A	A \$ ^ B A N A N
B A N A	B A N A N	B A N A N A	B A N A N A \$	B A N A N A \$ ^
N A N A	N A N A \$	N A N A \$ ^	N A N A \$ ^ B	N A N A \$ ^ B A
N A \$ ^	N A \$ ^ B	N A \$ ^ B A	N A \$ ^ B A N	N A \$ ^ B A N A
^ B A N	^ B A N A	^ B A N A N	^ B A N A N A	^ B A N A N A \$
\$ ^ B A	\$ ^ B A N	\$ ^ B A N A	\$ ^ B A N A N	\$ ^ B A N A N A

The **original text** can be found in the line starting with ^ and ending with \$.

Burrows-Wheeler-Transform (BWT) - some observations

¹ ^ ² B ³ A ⁴ N ⁵ A ⁶ N ⁷ A ⁸ \$

Burrows-Wheeler-Transform (BWT) - some observations

¹ ^ ² B ³ A ⁴ N ⁵ A ⁶ N ⁷ A ⁸ \$

³ A N A N A \$ ^ B ²

⁵ A N A \$ ^ B A N ⁴

⁷ A \$ ^ B A N A N ⁶

² B A N A N A \$ ^ ¹

⁴ N A N A \$ ^ B A ³

⁶ N A \$ ^ B A N A ⁵

¹ ^ B A N A N A \$ ⁸

⁸ \$ ^ B A N A N A ⁷

Burrows-Wheeler-Transform (BWT) - some observations

¹ **B** ² **A** ³ **N** ⁴ **A** ⁵ **N** ⁶ **A** ⁷ **\$** ⁸

³ **A** **N** **A** **N** **A** **\$** **^** **B** ²

⁵ **A** **N** **A** **\$** **^** **B** **A** **N** ⁴

⁷ **A** **\$** **^** **B** **A** **N** **A** **N** ⁶

² **B** **A** **N** **A** **N** **A** **\$** **^** ¹

⁴ **N** **A** **N** **A** **\$** **^** **B** **A** ³

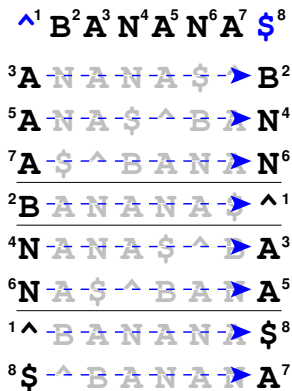
⁶ **N** **A** **\$** **^** **B** **A** **N** **A** ⁵

¹ **^** **B** **A** **N** **A** **N** **A** **\$** ⁸

⁸ **\$** **^** **B** **A** **N** **A** **N** **A** ⁷

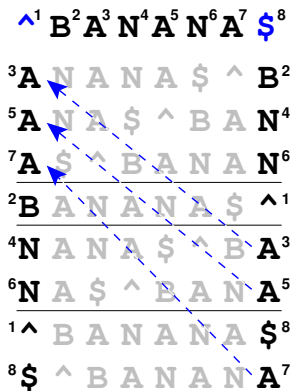
- a letter in the 1st column is easy to find, because they are sorted

Burrows-Wheeler-Transform (BWT) - some observations



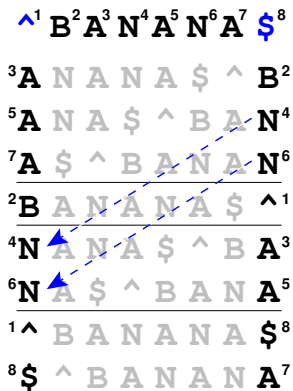
- a letter in the 1st column is easy to find, because they are sorted
- the letter in the last column precedes the one in the 1st column (thus, searching for words starts at the last letter)

Burrows-Wheeler-Transform (BWT) - some observations



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- the letter in the last column precedes the one in the 1st column (thus, searching for words starts at the last letter)
- the order of occurrence of a single letter in the last and the 1st column is the same (the 2nd A in the one is the 2nd A in the other)

Burrows-Wheeler-Transform (BWT) - some observations



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Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

query: q=**A N A**

³**A** N A N A \$ ^ **B**²

⁵**A** N A \$ ^ B A **N**⁴

⁷**A** \$ ^ B A N A **N**⁶

²**B** A N A N A \$ ^ **A**¹

⁴**N** A N A \$ ^ B **A**³

⁶**N** A \$ ^ B A N **A**⁵

¹^ B A N A N A \$ **A**⁸

⁸\$ ^ B A N A N A **A**⁷

Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

query:	q= A N A	find last letter	q= A N A
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²		
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴		
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶		
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹		
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³		
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵		
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸		
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷		

Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

query:	q=A N A	find last letter	q=A N A	to next position	q=A N A
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

query: q=A N A	find last letter q=A N A	to next position q=A N A
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

check 2nd last letter q=A N A
³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷

Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

query:	q=A N A	find last letter	q=A N A	to next position	q=A N A
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

check 2nd last letter	q=A N A	to next position	q=A N A
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

Burrows-Wheeler-Transform (BWT) - searching

Searching from the last letter to the first of the search string (q=ANA):

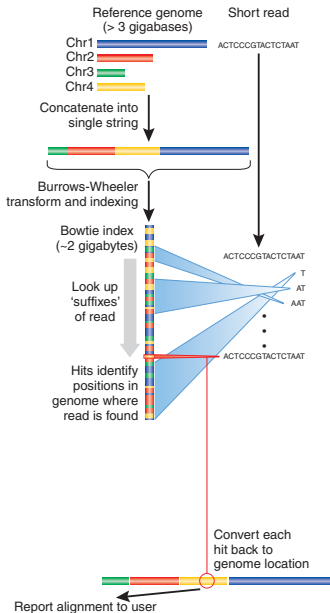
query: q=ANA	find last letter q=ANA	to next position q=ANA
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

check 2nd last letter q=ANA	to next position q=ANA	found twice! q=ANA
³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²	³ A N A N A \$ ^ B ²
⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴	⁵ A N A \$ ^ B A N ⁴
⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶	⁷ A \$ ^ B A N A N ⁶
² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹	² B A N A N A \$ ^ ¹
⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³	⁴ N A N A \$ ^ B A ³
⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵	⁶ N A \$ ^ B A N A ⁵
¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸	¹ ^ B A N A N A \$ ⁸
⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷	⁸ \$ ^ B A N A N A ⁷

Burrows-Wheeler-Transform (BWT) - approximate matches

- this way **exact matches** can be found easily
- to find **approximate matches**,
- everytime a mismatch is detected,
- a backtrace is done, introducing changes at any position
- at the beginning only one change and later more if still no match is found

BWT-based mapping



Source: Trapnell+Salzberg (2009)

- BWT-based is harder to implement than seed based approaches
- however, it is less memory intense (only about 1-2GB for the human genome of 3 Gbp) and much faster
- on the other hand, seed based approaches have been shown to be much more sensitive, and thus able to match more reads correctly